

Form 1 (A)
(reg.4 (2))



**APPLICATION FOR REGISTRATION OF PUBLIC INTEREST ENTITY LISTED ON THE
BOTSWANA STOCK EXCHANGE**

1.	Details of entity		
(a)	Full name of entity		
(b)	Legal status of entity (whether incorporated under the Companies Act or is a statutory body etc.)		
(c)	Date of incorporation/establishment		
(d)	Company Registration Number (If applicable)		
(e)	Postal address		
(f)	Physical address		
(g)	Telephone number		
(h)	Fax number		
(i)	E-mail address		
(j)	Website address		
(k)	Name of Principal Officer		
(l)	Names and contact details of Directors and Senior Management	Name	Designation Contact Details
		(i)	
		(ii)	
		(iii)	
		(iv)	
		(v)	

2. Details of primary contact person for this registration		
(a)	Surname	
(b)	Forename(s)	
(c)	Postal address	
(d)	Physical address	
(e)	Telephone number	
(f)	Fax number	
(g)	E-mail address	

3. Business and financial reporting details	
Business Description	
Financial Year-end date	
Latest Audited Annual Financial Statements available	

4. Stock Exchange listings details
4.1 Equity

Description and Market Value of Listed Equity Securities As at-----	Date of Initial Listing	Other Relevant Details

4.2 Debt Securities

Description and Market Value of Listed Debt Instruments As at-----	Date of Initial Listing	Any other relevant details

4.3 Other Instruments

Description and Market Value of Listed Instruments As at-----	Date of Initial Listing	Any other relevant details

4.2 Details of Foreign Listings

Description and Market Value of Listed Equity As at-----	Name of the Stock Exchange	Date of Initial Listing	Any other relevant details

5. Statutory audit details

Name of Audit Firm	
Practising Certificate Number	
Forename(s) of primary contact of audit firm	
Postal address of primary contact of audit firm	
Physical address of primary contact of audit firm	
Telephone number of primary contact of audit firm	
Fax number of primary contact of audit firm	
E-mail address of primary contact of audit firm	

6. Results of most recent Statutory Audit and Regulatory Reviews

Audit Opinion (tick (√) as applicable))	Unqualified Modified: Qualified/Adverse/Disclaimer Emphasis of Matter and/or other Matters
Regulatory Reviews	

7. Accounts contact person

(a)	Name
(b)	Email address
(c)	Direct Telephone number
(d)	Direct Fax number

8.	Certification by Regulator
7.1	Does the entity have a certificate or letter of good standing from Botswana Stock Exchange? (tick (√) as applicable) YES /NO
7.2	If the answer to 8.1 is "YES", attach a copy. If the answer is "NO"
8.2	provide explanation in the space below.

9.	Signature and Declaration
Fill in this form and submit it to the following address:	
Botswana Accountancy Oversight Authority Plot 54357, Varsha House, Tenth Floor, Central Business District, GABORONE Botswana Telephone: + 267 3919735; Fax +267 3919735 E-mail: baoa@baoa.org.bw ; Website: www.baoa.org.bw	
1.	We confirm that information in this form is complete and true.
2.	We acknowledge the role, duties and powers of BAOA and agree to cooperate fully during its reviews and to be bound by the Act, the Regulations, the Rules and its Disciplinary Provisions.
3.	We have paid P_____ to BAOA as registration fees.
(a)	Surname

(b) Forenames (s)

(c) Designation

(d) Date

(e) Signature (on behalf of the applicant)

All the applications must be accompanied by –

1. Copy of the most recent audited financial statements
2. Copy of the most recent External Auditors Management Letter
3. Copy of the most recent results of regulatory review
4. A letter or certificate of good standing from Botswana Stock Exchange (or an appropriate explanation in lieu thereof)